SEC Form 4
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Instruction 1(b).

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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Novartis Bioventures Ltd</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol Galera Therapeutics, Inc. [ GRTX ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner									
(Last) (First) (Middle) FORUM 1 - 1.32, LICHTSTRASSE 35, CH-4056						3. Date of Earliest Transaction (Month/Day/Year) 06/15/2020									Office belov	er (give title v)		Other below)	(specify		
(Street) BASEL V8 CH-4056						If Amendment, Date of Original Filed (Month/Day/Year)								6. Ind Line)	6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person						
(City)			Zip)											X	Form Perso	i filed by Mo on	re tha	n One Rej	oorting		
		Table	I - No	n-Deriva	tive \$	Sec	curi	ities Ac	auired	. Dis	posed of	or B	ene	l ficiall	v Own	ed					
1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day				tion	tion 2A. Deemed Execution Date,		3. Trans Code	3. 4. Securities Transaction Disposed O Code (Instr. 5)		es Acquired (A) Of (D) (Instr. 3,		a) or 5. Am 4 and Secur Benef Owne		ount of ties cially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
									Code	v	Amount	(A) or (D)		rice	Reported Transaction(s (Instr. 3 and 4		4)		(Instr. 4)		
Common				06/15/		╞			S	-	6,164	D	_	9.05 <sup>(1)</sup>	· ·	83,395	D <sup>(2)</sup>				
Common Common				06/16/	/2020				S S	$\vdash$	8,600 4,622	D	_	9.3 <sup>(3)</sup>			D <sup>(2)</sup>				
Common	Stock	Ta	hlo II -			ve Securities Acc			ļ	 Dien								D			
			- II - II -								convertib				Owner	u					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	4. Transa Code ( 8)		on ir.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Expira (Month	tion Da		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Amount		Se (Ir str.	Price of erivative ecurity estr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	У	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownershi (Instr. 4)		
					Code	v		(A) (D)	Date Exerci	sable	Expiration Date	Title	Amou or Numb of Share	ber							
		f Reporting Person <sup>*</sup> Itures Ltd	¢		, <u> </u>			·				<u></u>									
(Last) FORUM		(First) ICHTSTRASSE	``	ddle) H-4056																	
(Street) BASEL		V8	CH	I-4056																	
(City)		(State)	(Zip	))																	
	nd Address of RTIS AG	f Reporting Person*	r																		
(Last) LICHTS		(First) 5, CH-4056	(Mi	ddle)																	
(Street) BASEL		V8	CH	I-4056																	

## Explanation of Responses:

(State)

(Zip)

(City)

1. Reflects sales of common stock executed in multiple transactions at prices ranging from \$9.00 to \$9.10. The price reported reflects the weighted average sale price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.

2. Novartis Bioventures Ltd is the record holder of the securities reported herein. As the indirect parent of Novartis Bioventures Ltd., Novartis AG may be deemed to share beneficial ownership of these securities.

3. Reflects sales of common stock executed in multiple transactions at prices ranging from \$9.07 to \$9.37. The price reported reflects the weighted average sale price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.

4. Reflects sales of common stock executed in multiple transactions at prices ranging from \$9.25 to \$9.32. The price reported reflects the weighted average sale price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.

**Remarks:** 

<u>/s/ Bartosz Dzikowski,</u> <u>Secretary of the Board of</u> <u>Novartis Bioventures Ltd</u>	<u>06/17/2020</u>
<u>/s/ Beat Steffen, Authorized</u> <u>Signatory on behalf of</u> <u>Novartis Bioventures Ltd</u>	<u>06/17/2020</u>
<u>/s/ Bartosz Dzikowski,</u> <u>Authorized Signatory on</u> <u>behalf of Novartis AG</u>	<u>06/17/2020</u>
<u>/s/ Beat Steffen, Authorized</u> <u>Signatory on behalf of</u> <u>Novartis AG</u>	<u>06/17/2020</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.