SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287					
Estimated average burden						
hours per response:	: 0.5					

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Novartis Bioventures Ltd</u>				2. Issuer Name and Ticker or Trading Symbol <u>Galera Therapeutics, Inc.</u> [GRTX]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title Other (specify							
(Last) (First) (Middle) FORUM 1 - 1.32, LICHTSTRASSE 35, CH-4056					3. Date of Earliest Transaction (Month/Day/Year) 06/05/2020										er (give title v)		Other below)			
(Street) BASEL (City)	V{ (St		CH-405 Zip)	6	4. If <i>i</i>	Amen	idment,	Date o	of Original Filed (Month/Day/Year)						Individual or Joint/Group Filing (Check Applicable ine) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
Table I - Non-Derivat 1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day				tion 2A. Deemed Execution Date, j/Year) if any		3. 4. Transaction Di		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			A) or 5. A , 4 and Sec Ber		ount of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
									Code	v	Amount	(A) or (D) Pr		се	Report Transa				(Instr. 4)	
Common	Stock			06/05/2	2020	\square			S		8,652	D	\$9	.66(1)	6 ⁽¹⁾ 3,519,450		D ⁽²⁾			
Common	Stock			06/08/2	2020		S		5,659	D	\$9	.66 ⁽³⁾	3,5	13,791		D ⁽²⁾				
Common Stock 06/09/2			2020				S		7,000	D	\$9	.38(4)	3,5	06,791		D ⁽²⁾				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	4. Transa Code (8)			6. Date Exercisable an Expiration Date (Month/Day/Year)			nd 7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)			Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date		Amoui or Numbe of Shares	er						
	nd Address of is Bioven	Reporting Person [*] tures Ltd																		
(Last) FORUM		(First) ICHTSTRASSE		ddle) 1-4056																
(Street) BASEL		V8	CH	[-4056		_														
(City)		(State)	(Zip))																
	nd Address of RTIS AG	Reporting Person*																		
(Last) LICHTS		(First) 5, CH-4056	(Mio	ddle)																

(Street) BASEL V8 CH-4056 (City) (State) (Zip)

Explanation of Responses:

1. Reflects sales of common stock executed in multiple transactions at prices ranging from \$9.35 to \$9.89. The price reported reflects the weighted average sale price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.

2. Novartis Bioventures Ltd is the record holder of the securities reported herein. As the indirect parent of Novartis Bioventures Ltd., Novartis AG may be deemed to share beneficial ownership of these securities.

3. Reflects sales of common stock executed in multiple transactions at prices ranging from \$9.50 to \$9.83. The price reported reflects the weighted average sale price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.

4. Reflects sales of common stock executed in multiple transactions at prices ranging from \$9.30 to \$9.56. The price reported reflects the weighted average sale price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.

Remarks:

<u>/s/ Bartosz Dzikowski,</u> <u>Secretary of the Board of</u> <u>Novartis Bioventures Ltd</u>	<u>06/09/2020</u>
<u>/s/ Beat Steffen, Authorized</u> <u>Signatory on behalf of</u> <u>Novartis Bioventures Ltd</u>	<u>06/09/2020</u>
<u>/s/ Bartosz Dzikowski,</u> <u>Authorized Signatory on</u> behalf of Novartis AG	<u>06/09/2020</u>
<u>/s/ Beat Steffen, Authorized</u> <u>Signatory on behalf of</u> <u>Novartis AG</u>	<u>06/09/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.